1645

Practitioner's Docket No. <u>U 015569-3</u>

PATENT

TPE	IN THE UNITED STATES PA	TENT AND TRADEMARK OFFICE				
ANG OB 2005	Hatent application					
	Inventor(s)					
John Strain Strain	for	•				
AUG O 8 2005	Title of invention					
		OR				
	In re application of: Kazuaki SERIZAWA					
	Serial No.: 10/520,899	Group No.: 1645				
	Filed: January 10, 2005	Examiner: N/A				
	For: DEVICE AND METHOD FOR GENERATING PISTON OUTLINE MACHINING DATA AND COMPUTER-READABLE RECORDING MEDIUM ON WHICH MACHINING DATA GENERATION PROGRAM					
	Commissioner for Patents					
•	P. O. Box 1450					
	Aexandria, VA 22313-1450					
		ONTHS OF FILING OR OFFICE ACTION (37 C.F.R. 1.97(b))				
	CERTIFICATION UN	DER 37 C.F.R. 1.8(a) and 1.10*				
	(When using Express Mail, the Express Mail label number is mandatory;					
	Express Mail c	ertification is optional.)				
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	with sufficient postage as first class mail.	as "Express Mail Post Office to Addressee"				
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	transmitted by facsimile to the Patent and Trademark					
		Signature				
	Date: <u>August 4, 2005</u>	Julian H. Cohen (type or print name of person certifying)				

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. Each U.S. patent application published listed in an information disclosure statement (2) shall be identified by applicant, patent application publication number, and publication (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date. (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. (5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication. **WARNING:** No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

C.F.R. § 1.97(f).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.

SIGNATURE OF PRACTITIONER

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kazuaki SERIZAWA

Serial No.: 10/520,899

Group No. 1645

Filed: January 10, 2005

Examiner: N/A

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GENERATION PROGRAM IS RECORDED

Attorney Docket No.: U 015569-3

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached references which are also listed on the attached Form PTO-1449.

We also draw the attention of the Examiner to the attached U.S. Patent No. 4,653,360 which corresponds to cited reference JP 06-075814.

Form PTO-1449 is also attached with reference copies.

Respectfu	ılly	sub	mitted	l,
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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.82)

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Julian H. Cohen

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ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE U 015569-3 10/520,899 INFORMATION DISCLOSURE **APPLICANT** TATEMENT BY APPLICANT Kazuaki SERIZAWA **GROUP** se several sheets if necessary) FILING DATE **JANUARY 16, 2005 U.S. PATENT DOCUMENTS** FILING DATE IF EXAMINER REFERENCE **DOCUMENT** INITIALS **APPROPRIATE DESIGNATION** NUMBER DATE NAME 4,653,360 03/1987 Compton AAAB AC AD ΑE AFAG AΗ Αİ ΑJ AKFOREIGN PATENT DOCUMENTS **TRANSLATION D0CUMENT NUMBER** DATE **COUNTRY** YES NO 07-319528 12/1995 X AL JP 08-305434 11/1996 JP X AM 06-075814 09/1994 JP X AN AO ΑP

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	TO	HER ART (Includi	ing Author, Title, Date	e, Pertinent Dates, Etc.)		
	AQ	Patent Abstracts of Japan of JP 07-319528 dated December 8, 1995				
	AR	Patent Abstract of Japan of JP 08-305434 dated November 22, 1996				
	AS					
EXAMINER			DATE	CONSIDERED		

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.